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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/815,215	03/31/2004	Christopher Hoang Doan	AUS920040009US1	7116
35525 7590 08/04/2008 IBM CORP (YA) C/O YEE & ASSOCIATES PC P.O. BOX 802333 DALLAS, TX 75380				
			EXAMINER SHAW, PELING ANDY	
			ART UNIT 2144	PAPER NUMBER
			MAIL DATE 08/04/2008	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Pre-Interview Communication				Application No. 10815215	Applicant(s) DOAN ET AL.	
				Examiner PELING A. SHAW	Art Unit 2144	Page 2 of
Notification of Potential Rejection(s) and/or Objection(s)						
#	Claim(s)	Reference(s) (if applicable)	Rejection Statutory Basis	Brief Explanation of Potential Rejection		
1	1	A	103	Claim 1 (paragraph 41: send and receive message; paragraph 54: application setting a flag, bit or interrupt to create an instant message with a pop-up window;		
2	1	C	103	A does not disclose the interrupt indicator in the subject line; C discloses (column 1, line 57- column 2, line 12) subject filed, instruction code for retrieval module; column 13, lines 12-25) instruction code specify the way to retrieve a message;		
3	1	B	103	Neithe A nor C disclose creating a interrupt message based upon an authority of hierarchy. B discloses (column 2, lines 14-29) creating and sending e-mail per authority of hierarchy.		

Expanded Discussion/Commentary		
1		and paragraph 77: user's choice for response).
2		and (claim 17) generating module modifies the subject field of intercepted message.
3		It would be obvious to restrict the popup message based upon authority as per A and using metadata type parsing on subject field of message to implemeet the delivery of interrupt message as per C in the genral art of message notification as per A, B and C.

DATE: 8/1/2008	Examiner Signature: 	Primary Examiner Signature:
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Pre-Interview Communication (For use in the First Action Interview Pilot Program)	Application No. 10/815,215	Applicant(s) DOAN ET AL.	
	Examiner PELING A. SHAW	Art Unit 2144	Page 1 of

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE **ONE MONTH OR THIRTY (30) DAYS**, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

This time period for reply is NOT extendable under 37 CFR 1.136(a). This communication constitutes notice under 37 CFR 1.136(a)(1)(i).

To avoid abandonment of the application, applicant must, within this time period for reply, file:

- (1) A letter requesting not to have a first-action interview, or
- (2) A completed Applicant Initiated Interview Request Form (PTOL-413A) accompanied by a proposed amendment or arguments.

Inventor participation in the Pre-First Action Interview is encouraged if it would expedite resolution of the application.

Disposition of Claims

- 3) ☒ Claim(s) 1 is/are pending in the application.
 3a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 4) ☐ Claim(s) _____ appear to be allowable.
- 5) ☒ Claim(s) 1 appear to be rejectable.
- 6) ☐ Claim(s) _____ may be subject to an objection.
- 7) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 8) ☐ The specification may be subject to an objection by the Examiner.
- 9) ☒ The drawing(s) filed on 03/31/2004 is/are: a) ☒ accepted or b) ☐ may be subject to an objection by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

- 10) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 11) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 a) ☐ All b) ☐ Some * c) ☐ None of:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

*See the attached detailed Office action for a list of the certified copies not received.

Contact Information

Examiner's Telephone Number: (571)272-7968
 Examiner's Typical Work Schedule: M-F 8:00 - 4:00
 Supervisor's Name: William C. Vaughn
 Supervisor's Telephone Number: (571)272-3922

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date <u>03/31/2004</u> . | 6) <input type="checkbox"/> Other: _____ |